

Project Evaluation Forms for Public-Private Partnership

PPP-PE Form No. 1

General Information

1. Project Title

2. Description of Project

3. Sectoral Linkages

4. Project linkages with the national and regional development thrusts, goals, gender and development and the environment

5. Project Location

6. Proposed scheme and length of concession/cooperation period

7. Total Project Costs P _____ Exchange Rate _____
 a. Foreign Exchange Cost P _____
 b. Local Component P _____

8. Implementation Schedule/Processing Schedule

Processing	Target Date
Project Approval	
Contract Award	

Phase/Stage	Start of Construction (Month/Year)	Target Date for Completion of Construction (Month/Year)
I	_____	
II	_____	
III	_____	

9. Estimated Economic Life of Project: _____ years

10. Sponsoring National Government Agency(ies)/ Government-Owned or- Controlled Corporation(s)/ Local Government Unit(s)

- a.
- b.
- c.

11. Project Proponent (Composition)¹

- a.
- b.
- c.

Prepared by: _____
 Telephone Number: _____
 Office Address: _____
 Date Prepared: _____

¹ The proposed contractual structure should be attached to guide the ICC of the relationship between and among the financier , operator, contractor, supplier and other members of the project consortium.

Project Evaluation Forms for Public-Private Partnership

PPP- PE Form No. 2

Estimated Project Cost

The costs should be expressed in constant and current costs. Likewise, the assumptions for said costs, i.e. base year, inflation rate etc. must be indicated.

	Year 0	Year 1	Year 2	Year N	Total
1. Development Costs					
2. Detailed Engineering Design Costs					
3. Financing Costs					
4. Civil Works					
a. Equipment/Machineries					
Foreign Exchange Costs					
Local Costs					
b. Materials/Supplies					
Foreign Exchange Costs					
Local Costs					
c. Labor Costs					
c.1 Supervision and Consultancy Fees					
Foreign Exchange Costs					
Local Costs					
c.2 Laborers					
Local Costs (skilled)					
(unskilled)					
d. Machinery/Equipment					
Foreign Exchange Costs					
Local Costs					
5. Land Acquisition Costs					
6. Other Investment Phase Costs					
(Specify)					
Foreign Exchange Costs					
Local Costs					
7. Total Costs (1-6)					
8. Total Foreign Exchange Costs					
9. Total Local Costs					
10. Subsidies					
What are the subsidized items?					

Working capital (accounts receivables/payables, cash balances)

Depreciation (economic life, depreciation rate)

Taxes and subsidies

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 Office Address: _____
 Date Prepared: _____

Project Evaluation Forms for Public-Private Partnership

PPP-PE Forms No. 3

Project Revenue

Project revenues/tariffs

- a.
- b.
- c.

Year	Revenue/ Tariff1	Revenue/ Tariff 2	Revenue/ Tariff N	Total Revenues
1				
2				
3				
4				
5				
N				

Assumptions:

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Office Address: _____
Date Prepared: _____

Project Evaluation Forms for Public-Private Partnership

PPP- PE Form No. 4a

Estimated Financial Revenues and Costs

I. Project revenues

Year	Revenue1	Revenue2	RevenueN	Total Revenue
1				
2				
3				
4				
...				
N				

II. Project costs

Year	Cost1	Cost2	CostN	Total Cost
1				
2				
3				
4				
...				
N				

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Date Prepared: _____

Project Evaluation Forms for Public-Private Partnership

PPP- PE Form No. 4b

Estimated Economic Benefits and Costs

I. Project benefits

Year	Benefits1	Benefits2	BenefitsN	Total Benefit
1				
2				
3				
4				
...				
N				

II. Project costs

Year	Cost1	Cost2	CostN	Total Cost
1				
2				
3				
4				
...				
N				

1. Assumptions:
2. Indicate other benefits that may not be translated into monetary term, e.g. benefits that can only be expressed in terms of quantity or percentage. Likewise, identify and enumerate unquantifiable project benefits.

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Office Address: _____
Date Prepared: _____

Project Evaluation Forms for Public-Private Partnership

PPP-PE Form No. 5

Risk Allocation Matrix

Nature of Risk	Government	Private Sector

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Project Evaluation Forms for Public-Private Partnership

PPP-PE Form No. 6

Logical Framework

Project Title: _____

	Indicator/Targets	Means of Verification	Key Assumptions/Risks
1. Goal			
2. Purpose			
3. Outputs			

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Date Prepared: _____

Project Evaluation Forms for Public-Private Partnership

PPP-PE Form No. 7

Project and Financing Milestones

Project Title: _____

Agency: _____

A. Pre-Investment Phase

Activity	Target Schedule																									
1. Project concept/identification	Yes/No																									
2. Inclusion in PIP/CIIP	Yes/No																									
3. Project definition or preparation																										
4. Project Approval																										
<p><u>GOP Approval</u></p> <p>a. Submission of requirements by Implementing Agency (including respective mother agency/department level endorsement or bureaus/attached agencies)</p> <ol style="list-style-type: none"> i. Feasibility Study ii. Project Proposal iii. <i>Accomplished ICC Project Evaluation Forms (1-8)</i> iv. ICC Project Evaluation Matrix v. Project context in the Department's overall national strategy and programs² vi. DBM-certified budget strategy vii. RDC endorsements viii. Environmental Compliance Certificate/Certificate of Non-Coverage ix. ROW acquisition and resettlement action plan with budget cover x. DOF-CAG review (for GOCC and GFI projects) xi. Information on job creation/employment impact of the project xii. Endorsements from other concerned agencies xiii. Location Map xiv. <i>Advance Procurement Activities</i>³ <p><u>Consulting Services</u></p> <ul style="list-style-type: none"> • <i>Preparation of TOR and cost-estimates</i> • <i>Advertising</i> • <i>Completion of the consultant short-list and RFP</i> • <i>Issuance of RFP</i> • <i>Receipt of proposals</i> • <i>Evaluation of technical and financial proposals</i> <p><u>Goods/Civil Works</u></p>																										
<table border="1"> <thead> <tr> <th colspan="5"><u>Consulting Services</u></th> </tr> <tr> <th><i>Contract 1</i></th> <th><i>Contract 2</i></th> <th><i>Contract 3</i></th> <th><i>Contract 4</i></th> <th><i>Contract 5</i></th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		<u>Consulting Services</u>					<i>Contract 1</i>	<i>Contract 2</i>	<i>Contract 3</i>	<i>Contract 4</i>	<i>Contract 5</i>															
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² Refers to the overview of the concerned Department's national strategy and programs, including overall development outcomes, timelines and investment requirements; and the specific link of the proposed project outputs, timelines and investment requirements to achieve the Department's national strategy and programs.

³ Advance procurement is the conduct of procurement activities starting from procurement planning up to the conduct of bidding (short of notice of award), prior to effectiveness of the project financing agreement. APAs for ODA-assisted projects may be initiated immediately after project appraisal by the development partner in accordance with the procedures agreed between the development partner and the government. Per DBM Circular Letter No. 2010-9 (dated 30 December 2010, paragraph 5.5), "procuring entities, even without the receipt of allotment issued by DBM should proceed with the procurement processes, starting from procurement planning up to the conduct of bidding".

<ul style="list-style-type: none"> • <i>Issuance of the Notice to Proceed</i> 						
7. <i>Project implementation</i> a. <i>Loan/Grant</i> <ul style="list-style-type: none"> • <i>Effectivity Date</i> • <i>Closing Date</i> b. <i>Physical Implementation</i> <ul style="list-style-type: none"> • <i>Start Date</i> • <i>Closing Date</i> 						

C. Post-Investment Phase

Activity	Target Schedule
8. Preparation of Project Completion Report	
9. Start of O&M	
10. Conduct of Ex-Post Evaluation	

Prepared by: _____ Date: _____
(Signature over printed name)

Approved by: _____ Date: _____
(Signature over printed name)

Project Evaluation Forms for Public-Private Partnership

PPP-PE Form No. 8

Maximum Government Exposure

Proposed Government Undertaking and Contingent Liabilities

Proposed Government Undertaking	Costs Sharing
ROW	
Resettlement	
Tariff Subsidy	
National Taxes, Duties and Charges	
Local Taxes, Fees and Charges	
Government Supported Enhancements	
Contingent Liability	

Prepared by: _____
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Office Address: _____
Date Prepared: _____