

ICC CHECKLIST FOR PROPOSED PPP PROJECTS FOR ICC REVIEW

I. Project Title: _____

II. Transmittal letter signed by: _____

III. Contact persons and details:

At least Director level	Focal technical staff
Name:	Name:
Tel. No.:	Tel. No.:
Fax No.:	Fax No.:
Email:	Email:

IV. Documentary requirements:

Items	Date of submission	Received by PIS	Status/ Remarks
1. Project Proposal (based on data not older than 3 years)			
2. ICC Project Evaluation Forms ___ PPP PE Form No. 1 <u>General Information</u> (to include basic technical design, institutional arrangements) ___ PPP PE Form No. 2 <u>Estimated Project Cost</u> (include O&M; the Secretariat will be provided assumptions on what figures to take) ___ PPP PE Form No. 3 <u>Project Revenue</u> (include fee/tariff structure; traffic forecast at most 3 years old) ___ PPP PE Form No. 4 <u>Estimated Project Benefits and Costs</u> ___ 4a Financial ___ 4b Economic ___ PPP PE Form No. 5 <u>Risk Allocation Matrix¹</u> ___ PPP PE Form No. 6 <u>Logical Framework</u> ___ PE Form No. 7 <u>Project and Financing Milestones</u> ___ PPP PE Form No. 8 <u>Maximum Government Exposure</u> ___ PPP PE Form No. 9 <u>Gender Responsiveness Checklist²</u>			
3. Two (2) sets of electronic copies of the economic and financial analyses in traceable formula format based on the submitted PPP PE Forms			
4. Endorsements by head of oversight/mother department			
5. Environmental Impact Statement (EIS)/ Environmental Compliance			

¹ Based on the Generic Preferred Risk Allocation Matrix accessible in the NEDA website.

² Refers to the Combined Generic Checklist for the Project Identification and Design Stages or the applicable Sectoral Gender and Development Checklist, which can be accessed at http://w3.neda.gov.ph/hgdg/left_nav.html.

Items	Date of submission	Received by PIS	Status/ Remarks
Certificate/ Certificate of Non-Coverage ³			
6. DOF-CAG review for GOCC projects ⁴			
7. Location map ⁵ (with e-copy)			
8. ROW acquisition and resettlement action plan ⁶ (implementation risk; implementing agency to provide a good description and the extent of the project's ROW cost)			
9. ICC Project Evaluation Matrix			
10. Project context in the Department's overall strategy/program ⁷			
11. Information on job creation/employment impact of the project			
Other Post ICC Requirements			
12. RDC endorsements ⁸ (prior to notice of award)			
13. DBM certification of budget cover availability for GOP counterpart (prior to NEDA Board confirmation of ICC approval)			

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³ To ensure environmental soundness of projects, proponents are required to complete and submit an EIS to DENR for processing. Pursuant to DENR Administrative Order 96-37, DENR - Environmental Management Bureau and DENR Regional Offices commit to issue the ECCs within sixty-days from the submission of a complete EIS.

⁴ The DOF - CAG review covers the impact of the program/project on the financial position of the government-owned or -controlled corporations (GOCCs) and government financial institutions (GFIs) as well as on the Consolidated Public Sector Deficit (CPSD). The review enables the ICC to gauge the ability of the GOCC/GFI to generate funds for loan repayments or estimate the amount of subsidies that may have to be infused by the national government in the future.

⁵ A diagram that outlines the project site.

⁶ The proponent agencies should design and submit the right-of-way (ROW)* acquisition plan and resettlement action plan**. These plans will aid the evaluation of the social acceptability and feasibility of the project. The ICC recognizes the effective role of the local government units (LGUs) in providing assistance in the processes involved including the negotiation for resettlement sites and provision of essential public services to the project affected families.

* The right given by one landowner to another to pass over the land, construct a roadway or use as a pathway, without actually transferring ownership. A right of way may arise, (a) By prescription and immemorial usage; (b) By grant; (c) By reservation; (d) By custom; (e) By acts of the legislature; (f) From necessity, when a man's ground is enclosed and completely blocked up, so that he cannot, without passing over his neighbor's land, reach the public road. (Source: <http://www.lectlaw.com/def2/w045.htm>).

**The resettlement action plan should include measures to relocate, resettle and provide livelihood development for families whose properties will be permanently affected by the project.

⁷ This pertains to the overview of the concerned Department's national strategy and program, including overall development outcomes, timelines, and investment requirements, and the specific link of the proposed project outputs, timelines and investment requirements to achieve the Department's national strategy and program.

⁸ Proponent agencies are required to secure and submit the Regional Development Council (RDC), the Metro Manila Development Authority (MMDA - for the National Capital Region only) and the ARMM-Regional Planning and Development Office (for the Autonomous Region of Muslim Mindanao only) endorsement of proposed programs and projects to ensure that effective identification of target beneficiaries, sustaining social preparation and active local participation in the attainment of national priorities are met.