

ICC PROJECT EVALUATION (PE) FORMS

ICC-PE FORM NO. 1 GENERAL INFORMATION

1. Project Title

2. Description of Project

3. Project Location

ICC-PE FORM NO. 1
GENERAL INFORMATION (continued)

4. Total Project Cost* _____ Exchange rate: _____
- a. Foreign Funding _____
- Foreign Source* _____
- Local Source _____
- b. Local Funding _____
- Foreign Source* _____
- Local Source _____

5. Summary of Implementation and Cost Schedule

Activity/Component	Year 1				Year N	TOTAL
	Foreign Source		Local Source		...	
	Foreign Cost*	Local Cost	Foreign Cost*	Local Cost	...	
TOTAL					...	

6. Implementing Agency(s)/Firm(s) _____
- _____

Prepared by : _____

Telephone Number : _____

Office Address : _____

Date Prepared : _____

* Expressed in foreign currency and peso equivalent

ICC-PE FORM NO. 2
SOURCES OF FINANCING

SOURCES OF FINANCING

	YEAR 0	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR N
I. LOCAL SOURCE						
1. Budget Appropriation						
2. Equity ^{1/}						
3. Bonds and Notes						
4. Direct Borrowing						
a) Deposit Money Banks						
b) Specialized Banks (DBP, LBP, PNB)						
c) Thrift Banks						
d) Other Financial Institutions						
i) Those regulated by or under supervision of the Central Bank						
ii) Those which are not regulated by or under supervision of Central Bank (e.g. private Insurance companies)						

^{1/} For government corporations equity should exclude contributions derived from budgetary appropriations.

ICC-PE FORM NO. 2
SOURCES OF FINANCING (continued)

II. FOREIGN SOURCE

1. Foreign Loans*
 - a) Direct Obligation Of proponent ^{2/}
 - i) Concessional Loans*
 - ii) Commercial Loans*
 - b) From Relending Lines*
 - i) Concessional Loans*
 - ii) Commercial Loans*
 - c) Bonds and Notes*
2. Equity*
3. Others*

Total

[illegible]

^{2/} Indicate whether already approved or yet to be approved by the Central Bank.

* Expressed in foreign currency and peso equivalent.

ICC-PE FORM NO. 3
ESTIMATED PROJECT COST

INVESTMENT PHASE

All costs are expressed in constant prices _____ (indicate year)

In _____ Units

1. Civil Works

a. Equipment/Machineries

Foreign Exchange Costs

Local Costs

b. Materials/Supplies

Foreign Exchange Costs

Local Costs

c. Labor costs

c.1 Supervision and Consultancy
Fees

Foreign Exchange Costs

Local Costs

c.2 Laborers

Local Costs (skilled)
(unskilled)

	YEAR 0	YEAR 1	YEAR 2	YEAR 3	YEAR N
\$					
P					
\$					
P					
\$					
P					
P					
P					

ICC-PE-FORM NO. 3
ESTIMATED PROJECT COST (continued)

INVESTMENT PHASE

In _____ Units

	YEAR 0	YEAR 1	YEAR 2	YEAR 3	YEAR N
2. Machineries/Equipments					
Foreign Exchange Costs	\$				
Local Costs	P				
3. Land Acquisition Costs	P				
4. Other Investment Phase Costs (Specify)					
Foreign Exchange Costs	\$				
Local Costs	P				
5. Total costs (1-4)					
Total Foreign Exchange Costs	\$				
Total Local Costs	P				
6. Subsidies	P				
What are the subsidized items?					

Assumptions:

Prepared by : _____
 Telephone Number : _____
 Office Address : _____
 Date Prepared : _____

ICC-PE-FORM NO. 4
ANNUAL OPERATIONS AND MAINTENANCE COST

OPERATIONAL PHASE

Indicate the year when the Project starts operation _____

ITEM	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR N
1. Equipment/Machineries					
Foreign Exchange Costs	\$				
Local Costs	P				
2. Materials/Supplies					
Foreign Exchange Costs	\$				
Local Costs	P				
3. Labor Cost					
Supervision/Consultancy					
Foreign Exchange Costs	\$				
Local Costs					
Laborers (Skilled)	P				
Laborers (Unskilled)	P				
4. Utilities (Electricity, Water etc.)					
Foreign Exchange Costs	\$				
Local Costs	P				
5. Oil Costs					
Foreign Exchange Costs	\$				
Local Costs	P				

ICC-PE FORM NO. 4
ANNUAL OPERATIONS AND MAINTENANCE COST (continued)

OPERATIONAL PHASE

ITEM	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR N
6. Other O & M Costs (Specify)					
Foreign Exchange Costs	\$				
Local Costs	P				
7. Total O & M Costs					
Foreign Exchange Costs	\$				
Local Costs	P				
8. Subsidies	P				

What are the subsidized items? _____

Prepared by : _____
 Telephone Number : _____
 Office Address : _____
 Date Prepared : _____

ICC-PE FORM NO. 5
ESTIMATED PROJECT BENEFITS

Sources of Project Benefits

YEAR	VALUE OF BENEFITS	YEAR	VALUE OF BENEFITS
1			
2			
3			
4			
5			
6			
7			
8			
9			
...			

Assumptions:

Prepared by : _____
 Telephone Number : _____
 Office Address : _____
 Date Prepared : _____

ICC-PE FORM NO. 6
LOGICAL FRAMEWORK¹

PROJECT TITLE: _____

	Indicator/Targets	Means of Verification²	Key Assumptions/Risks
1. Goal			
2. Purpose			
3. Outputs			

¹ Information may be abstracted from the Project's logical framework, where available.

² Please attach an expanded description of the Monitoring and Evaluation System that would be adopted to meet the Results Monitoring and Evaluation requirements.

ICC-PE FORM NO. 7a.
PROJECT AND FINANCING MILESTONES

Project Title: _____

Agency: _____

A. Pre-Investment Phase

Activity		Target Schedule				
1.	Project concept/identification	Yes/No				
2.	Inclusion in PIP/CIIP	Yes/No				
3.	Project definition or preparation					
4.	Project Approval					
<u>GOP Approval</u>						
a.Submission of requirements by Implementing Agency (including respective mother agency/department level endorsement or bureaus/attached agencies)						
i. Feasibility Study						
ii. Project Proposal						
iii. Accomplished ICC Project Evaluation Forms (1-7)						
iv. ICC Project Evaluation Matrix						
v. Project context in the Department's overall national strategy and programs ¹						
vi. DBM-certified budget strategy						
vii. RDC endorsements						
viii. Environmental Compliance Certificate/Certificate of Non-Coverage						
ix. ROW acquisition and resettlement action plan with budget cover						
x. DOF-CAG review (for GOCC and GFI projects)						
xi. NCC review for relending programs						
xii. Information on job creation/employment impact of the project						
xiii. Endorsements from other concerned agencies						
xiv. Location Map						
xv. Advance Procurement Activities ²						
<u>Consulting Services</u>						
<ul style="list-style-type: none"> • Preparation of TOR and cost-estimates • Advertising • Completion of the consultant short-list and RFP • Issuance of RFP • Receipt of proposals • Evaluation of technical and financial proposals 						
<u>Goods/Civil Works</u>						
<ul style="list-style-type: none"> • Preparation of technical specifications and bidding documents • Advertising • Pre-qualification of bidders • Issuance of Bidding documents • Bid opening • Evaluation of technical and financial proposals 						

¹ Refers to the overview of the concerned Department's national strategy and programs, including overall development outcomes, timelines and investment requirements; and the specific link of the proposed project outputs, timelines and investment requirements to achieve the Department's national strategy and programs.

² Advance procurement is the conduct of procurement activities starting from procurement planning up to conduct of bidding (short of notice of award), prior to effectiveness of the project financing agreement. APAs for ODA-assisted projects may be initiated immediately after project appraisal by the development partner in accordance with the procedures agreed between the development partner and the government. Per DBM Circular Letter No. 2010-9 (dated 30 December 2010, paragraph 5.5), “procuring entities, even without the receipt of allotment issued by DBM should proceed with the procurement processes, starting from procurement planning up to the conduct of bidding”.

<ul style="list-style-type: none"> b. ICC Secretariat review/evaluation c. ICC Technical Board review d. ICC Cabinet Committee review e. NEDA Board confirmation of ICC approval 	
<u>Development Partner Activities</u> <ul style="list-style-type: none"> a. Development Partner review of proposals b. Appraisal Mission c. Development Partner review of Appraisal Mission report d. Development Partner issuance of pledge e. Acceptance by Philippine Government of pledge f. Development Partner Approval of the Project Financing 	
<u>Project Financing</u> <ul style="list-style-type: none"> a. Monetary Board Approval in Principle b. DBM Issuance of Forward Obligational Authority c. Technical Discussion/Loan Negotiations (DOF-IFG/BSP-ID/DOJ) d. Issuance of Full Powers e. Signing of Loan Agreement and Exchange of Notes f. Monetary Board Final Approval (DOF-IFG/BSP-ID) g. DOJ Legal Opinion 	

B. Investment Phase

Activity	Target Schedule				
5. Detailed engineering/design					
6. Procurement Milestones					
<u>Consulting Services</u>	<u>Consulting Services</u>				
	Contract 1	Contract 2	Contract 3	Contract 4	Contract 5
• Preparation of TOR and cost-estimates					
• Advertising					
• Completion of the consultant short-list and RFP					
• Issuance of RFP					
• Receipt of proposals					
• Evaluation of technical and financial proposals					
• Contract award					
• Issuance of Notice to Proceed					
<u>Goods/Civil Works</u>	<u>Goods/Civil Works</u>				
	Contract 1	Contract 2	Contract 3	Contract 4	Contract 5
• Preparation of technical specifications and bidding documents					
• Advertising					
• Pre-qualification of bidders					
• Issuance of Bidding documents					
• Bid opening					
• Evaluation of technical and financial proposals					
• Contract award					
• Issuance of the Notice to Proceed					
7. Project implementation					
a. Loan/Grant					
• Effectivity Date					
• Closing Date					
b. Physical Implementation					
• Start Date					
• Closing Date					

C. Post-Investment Phase

Activity	Target Schedule
8. Preparation of Project Completion Report	
9. Start of O&M	
10. Conduct of Ex-Post Evaluation	

Prepared by: _____ Date: _____

(Signature over printed name)

Approved by: _____ Date: _____

(Signature over printed name)