

## **Project Evaluation Forms for Public-Private Partnership**

### **PPP-PE Form No. 1**

#### **General Information**

1. Project Title

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2. Description of Project

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3. Sectoral Linkages

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4. Project linkages with the national and regional development thrusts, goals, gender and development and the environment

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5. Project Location

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6. Proposed scheme and length of concession/cooperation period

7. Total Project Costs P\_\_\_\_\_ Exchange Rate\_\_\_\_\_

a. Foreign Exchange Cost P\_\_\_\_\_

b. Local Component P\_\_\_\_\_

8. Implementation Schedule/Processing Schedule

Processing	Target Date
Project Approval	
Contract Award	

Phase/Stage	Start of Construction (Month/Year)	Target Date for Completion of Construction (Month/Year)
I	_____	
II	_____	
III	_____	

9. Estimated Economic Life of Project: \_\_\_\_\_years

10. Sponsoring National Government Agency(ies)/ Government-Owned or- Controlled Corporation(s)/  
Local Government Unit(s)

a.

b.

c.

11. Project Proponent (Composition)<sup>1</sup>

a.

b.

c.

Prepared by: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Office Address: \_\_\_\_\_  
Date Prepared: \_\_\_\_\_

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<sup>1</sup> The proposed contractual structure should be attached to guide the ICC of the relationship between and among the financier, operator, contractor, supplier and other members of the project consortium.

## Project Evaluation Forms for Public-Private Partnership

### PPP- PE Form No. 2

#### Estimated Project Cost

The costs should be expressed in constant and current costs. Likewise, the assumptions for said costs, i.e. base year, inflation rate etc. must be indicated.

	Year 0	Year 1	Year 2	Year N	Total
1. Development Costs					
2. Detailed Engineering Design Costs					
3. Financing Costs					
4. Civil Works					
a. Equipment/Machineries					
Foreign Exchange Costs					
Local Costs					
b. Materials/Supplies					
Foreign Exchange Costs					
Local Costs					
c. Labor Costs					
c.1 Supervision and Consultancy Fees					
Foreign Exchange Costs					
Local Costs					
c.2 Laborers					
Local Costs (skilled)					
(unskilled)					
d. Machinery/Equipment					
Foreign Exchange Costs					
Local Costs					
5. Land Acquisition Costs					
6. Other Investment Phase Costs					
(Specify)					
Foreign Exchange Costs					
Local Costs					
7. Total Costs (1-6)					
8. Total Foreign Exchange Costs					
9. Total Local Costs					
10. Subsidies					
What are the subsidized items?					

Working capital (accounts receivables/payables, cash balances)

Depreciation (economic life, depreciation rate)

Taxes and subsidies

Prepared by: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Office Address: \_\_\_\_\_  
Date Prepared: \_\_\_\_\_

As of 24 August 2012

## Project Evaluation Forms for Public-Private Partnership

### PPP-PE Forms No. 3

#### Project Revenue

Project revenues/tariffs

- a.
- b.
- c.

Year	Revenue/ Tariff1	Revenue/ Tariff 2	Revenue/ Tariff N	Total Revenues
1				
2				
3				
4				
5				
N				

Assumptions:

Prepared by: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Office Address: \_\_\_\_\_  
Date Prepared: \_\_\_\_\_

## Project Evaluation Forms for Public-Private Partnership

### PPP- PE Form No. 4a

#### Estimated Financial Revenues and Costs

##### I. Project revenues

Year	Revenue1	Revenue2	RevenueN	Total Revenue
1				
2				
3				
4				
...				
N				

##### II. Project costs

Year	Cost1	Cost2	CostN	Total Cost
1				
2				
3				
4				
...				
N				

Prepared by: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Office Address: \_\_\_\_\_  
Date Prepared: \_\_\_\_\_

## Project Evaluation Forms for Public-Private Partnership

### PPP- PE Form No. 4b

#### Estimated Economic Benefits and Costs

##### I. Project benefits

Year	Benefits1	Benefits2	BenefitsN	Total Benefit
1				
2				
3				
4				
...				
N				

##### II. Project costs

Year	Cost1	Cost2	CostN	Total Cost
1				
2				
3				
4				
...				
N				

1. Assumptions:
2. Indicate other benefits that may not be translated into monetary term, e.g. benefits that can only be expressed in terms of quantity or percentage. Likewise, identify and enumerate unquantifiable project benefits.

Prepared by: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Office Address: \_\_\_\_\_  
Date Prepared: \_\_\_\_\_

**Project Evaluation Forms for Public-Private Partnership**

**PPP-PE Form No. 5**

**Risk Allocation Matrix**

Nature of Risk	Government	Private Sector

Prepared by: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Office Address: \_\_\_\_\_

Date Prepared: \_\_\_\_\_

## Project Evaluation Forms for Public-Private Partnership

### PPP-PE Form No. 6

#### Logical Framework

Project Title:

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	Indicator/Targets	Means of Verification	Key Assumptions/Risks
1. Goal			
2. Purpose			
3. Outputs			

Prepared by: \_\_\_\_\_  
Telephone Number: \_\_\_\_\_  
Office Address: \_\_\_\_\_  
Date Prepared: \_\_\_\_\_



# Project Evaluation Forms for Public-Private Partnership

## PPP-PE Form No. 7

### Project and Financing Milestones

Project Title: \_\_\_\_\_

Agency: \_\_\_\_\_

#### A. Pre-Investment Phase

Activity	Target Schedule																				
1. Project concept/identification	Yes/No																				
2. Inclusion in PIP/CIIP	Yes/No																				
3. Project definition or preparation																					
4. Project Approval																					
<b><u>GOP Approval</u></b> a. Submission of requirements by Implementing Agency (including respective mother agency/department level endorsement or bureaus/attached agencies) <ol style="list-style-type: none"> <li>Feasibility Study</li> <li>Project Proposal</li> <li><i>Accomplished ICC Project Evaluation Forms (1-7)</i></li> <li>ICC Project Evaluation Matrix</li> <li>Project context in the Department's overall national strategy and programs<sup>2</sup></li> <li>DBM-certified budget strategy</li> <li>RDC endorsements</li> <li>Environmental Compliance Certificate/Certificate of Non-Coverage</li> <li>ROW acquisition and resettlement action plan with budget cover</li> <li>DOF-CAG review (for GOCC and GFI projects)</li> <li>NCC review for relending programs</li> <li>Information on job creation/employment impact of the project</li> <li>Endorsements from other concerned agencies</li> <li>Location Map</li> <li><i>Advance Procurement Activities<sup>3</sup></i></li> </ol> <b><u>Consulting Services</u></b> <ul style="list-style-type: none"> <li><i>Preparation of TOR and cost-estimates</i></li> <li><i>Advertising</i></li> <li><i>Completion of the consultant short-list and</i></li> </ul>																					
<table border="1"> <thead> <tr> <th colspan="5"><i>Consulting Services</i></th></tr> <tr> <th><i>Contract 1</i></th><th><i>Contract 2</i></th><th><i>Contract 3</i></th><th><i>Contract 4</i></th><th><i>Contract 5</i></th></tr> </thead> <tbody> <tr> <td></td><td></td><td></td><td></td><td></td></tr> <tr> <td></td><td></td><td></td><td></td><td></td></tr> </tbody> </table>		<i>Consulting Services</i>					<i>Contract 1</i>	<i>Contract 2</i>	<i>Contract 3</i>	<i>Contract 4</i>	<i>Contract 5</i>										
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<i>Contract 1</i>	<i>Contract 2</i>	<i>Contract 3</i>	<i>Contract 4</i>	<i>Contract 5</i>																	

<sup>2</sup> Refers to the overview of the concerned Department's national strategy and programs, including overall development outcomes, timelines and investment requirements; and the specific link of the proposed project outputs, timelines and investment requirements to achieve the Department's national strategy and programs.

<sup>3</sup> Advance procurement is the conduct of procurement activities starting from procurement planning up to the conduct of bidding (short of notice of award), prior to effectiveness of the project financing agreement. APAs for ODA-assisted projects may be initiated immediately after project appraisal by the development partner in accordance with the procedures agreed between the development partner and the government. Per DBM Circular Letter No. 2010-9 (dated 30 December 2010, paragraph 5.5), "procuring entities, even without the receipt of allotment issued by DBM should proceed with the procurement processes, starting from procurement planning up to the conduct of bidding".

<p><i>RFP</i></p> <ul style="list-style-type: none"> <li>• Issuance of RFP</li> <li>• Receipt of proposals</li> <li>• Evaluation of technical and financial proposals</li> </ul> <p><u>Goods/Civil Works</u></p> <ul style="list-style-type: none"> <li>• Preparation of technical specifications and bidding documents</li> <li>• Advertising</li> <li>• Pre-qualification of bidders</li> <li>• Issuance of Bidding documents</li> <li>• Bid opening</li> <li>• Evaluation of technical and financial proposals</li> </ul> <p>b. ICC Secretariat review/evaluation c. ICC Technical Board review d. ICC Cabinet Committee review e. NEDA Board confirmation of ICC approval</p>					
	<i>Goods/Civil Works</i>				
	<i>Contract 1</i>	<i>Contract 2</i>	<i>Contract 3</i>	<i>Contract 4</i>	<i>Contract 5</i>
<p><u>Development Partner Activities</u></p> <p>a. Development Partner review of proposals b. Appraisal Mission c. Development Partner review of Appraisal Mission report d. Development Partner issuance of pledge e. Acceptance by Philippine Government of pledge f. Development Partner Approval of the Project Financing</p>					
<p><u>Project Financing</u></p> <p>a. Monetary Board Approval in Principle b. DBM Issuance of Forward Obligational Authority c. Technical Discussion/Loan Negotiations (DOF-IFG/BSP-ID/DOJ) d. Issuance of Full Powers e. Signing of Loan Agreement and Exchange of Notes f. Monetary Board Final Approval (DOF-IFG/BSP-ID) g. DOJ Legal Opinion</p>					

B. Investment Phase

Activity	Target Schedule				
5. Detailed engineering/design					
6. Procurement Milestones <u>Consulting Services</u> <ul style="list-style-type: none"> <li>• Preparation of TOR and cost-estimates</li> <li>• Advertising</li> <li>• Completion of the consultant short-list</li> </ul>	<i>Consulting Services</i>				
	<i>Contract 1</i>	<i>Contract 2</i>	<i>Contract 3</i>	<i>Contract 4</i>	<i>Contract 5</i>

<p><i>and RFP</i></p> <ul style="list-style-type: none"> <li>• <i>Issuance of RFP</i></li> <li>• <i>Receipt of proposals</i></li> <li>• <i>Evaluation of technical and financial proposals</i></li> <li>• <i>Contract award</i></li> <li>• <i>Issuance of Notice to Proceed</i></li> </ul> <p><u><i>Goods/Civil Works</i></u></p> <ul style="list-style-type: none"> <li>• <i>Preparation of technical specifications and bidding documents</i></li> <li>• <i>Advertising</i></li> <li>• <i>Pre-qualification of bidders</i></li> <li>• <i>Issuance of Bidding documents</i></li> <li>• <i>Bid opening</i></li> <li>• <i>Evaluation of technical and financial proposals</i></li> <li>• <i>Contract award</i></li> <li>• <i>Issuance of the Notice to Proceed</i></li> </ul>					
	<i>Goods/Civil Works</i>				
	<i>Contract 1</i>	<i>Contract 2</i>	<i>Contract 3</i>	<i>Contract 4</i>	<i>Contract 5</i>
7. <i>Project implementation</i>					
a. <i>Loan/Grant</i>					
• <i>Effectivity Date</i>					
• <i>Closing Date</i>					
b. <i>Physical Implementation</i>					
• <i>Start Date</i>					
• <i>Closing Date</i>					

C. Post-Investment Phase

<b>Activity</b>	<b>Target Schedule</b>
8. Preparation of Project Completion Report	
9. Start of O&M	
10. Conduct of Ex-Post Evaluation	

Prepared by: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature over printed name)

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_  
(Signature over printed name)

## Project Evaluation Forms for Public-Private Partnership

### PPP-PE Form No. 8

#### Maximum Government Exposure

Proposed Government Undertaking and Contingent Liabilities

<b>Proposed Government Undertaking</b>	<b>Costs Sharing</b>
ROW	
Resettlement	
Tariff Subsidy	
National Taxes, Duties and Charges	
Local Taxes, Fees and Charges	
Government Supported Enhancements	
<b>Contingent Liability</b> (c/o DOF)	

Prepared by: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Office Address: \_\_\_\_\_

Date Prepared: \_\_\_\_\_